Policy on Sponsored Program Expenditures Authorization/Approval

Policy Number: Post-004
Classification: Post Award
Responsible University Office: Vice President for Research

Version Number: 001
Effective Date: December 15, 2016

1.0 Purpose

The purpose of this policy is to assign responsibility for expenditure approvals for sponsored program project activities.

2.0 Applicability

This policy applies to sponsored projects and the Principal Investigator (PI) for those sponsored projects.

3.0 Government Rules and Regulations

2CFR200.61 provides requirements to ensure that non-federal entities implement processes to follow to comply with applicable laws and regulations regarding internal control.

4.0 Definitions

PI approval on all expenditures ensures that the PI is aware of all expenditures charged on his/her sponsored project(s).

5.0 Policy

The Principal Investigator (PI) of a sponsored program project is responsible for all expenditure and programmatic activities related to his/her awarded project. The PI is expected to approve or authorize all expenditures for sponsored program project activities. The PI may delegate signature authority as indicated in the Sponsored Program Expenditures Authorization/Approval procedure. The applicable procedure is located on the Division of Research website. All individuals who approve expenditures must have technical expertise and be knowledgeable of the sponsored project. Signature stamps are not valid for approving expenditures.
6.0 Responsibilities

All individuals who approve expenditures must have technical expertise and be knowledgeable of the sponsored project.

7.0 Sanctions for Non-Compliance

Audit findings can result in loss of funding and additional fines and penalties and possibly the debarment and suspension of a PI or the University from accepting federal awards.

8.0 Approval Signatures

This policy has been approved by:

[Signature]

Tanju Karanfil, Ph.D.
Vice President for Research

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REVISION HISTORY

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