POST AWARD

RESEARCH
ADMINISTRATIVE SERVICES

Michelle Duncan
Post Award Manager

August 2019
SCIENCE RESEARCH EXPENDITURES BY FISCAL YEAR

SCIENCE Research Expenditures

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FY 17  FY18  FY19 Preliminary
ROLE OF POST AWARD STAFF

College Liaison

• Communicate between Principal Investigator/Departmental Staff and University Grants & Contracts Administration regarding financial & compliance matters relating to sponsored projects

Project Manager/Accountant

• Manage Fund 20 projects once number is assigned by Grants & Contracts Administration through the final close-out process

Auditor

• Point of contact for compliance issues (College, University, State Government, Federal Government, etc.)
• Provide supporting documentation to internal/external auditors
SCIENCE POST AWARD STAFF

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Departments/Centers:
0978 – Physics and Astronomy

Departments/Centers:
0973 – Chemistry
0975 – Mathematical & Statistical Sciences

Departments/Centers:
0314 – Biological Sciences
0322 – CU Life Science Outreach Center
0318 – Genetics and Biochemistry
0340 – Center for Human Genetics
0386 – CU EPIC
HOW OUR STAFF MAY ASSIST YOU

• Monitor award documents for compliance

• Oversee expenditures, budgets & balances

• Reconcile budget status reports of expenditures

• Process Proposal Change Form (Change in PI/Center Credit/PI-CoPI % of credit)

• Provide special financial reporting (if required by grant/GCA processes standard financial reports)
HOW OUR STAFF MAY ASSIST YOU

• Manage cost share accounts including budgeting, documenting, and reporting

• Process payroll/disbursement cost transfers & budget amendments if necessary

• Provide budget/balance updates & projections to Principal Investigator

• Coordinate with departmental staff

• Provide 3-month advance notice of project close-out status
HOW YOU CAN SUPPORT OUR STAFF

• Provide as much information as possible in the Pre-Award Stage

• Spend according to project guidelines
  • Modifications may be necessary
  • Sponsor approval may be required

• Provide appropriate justification for all budget amendments & cost transfers
  • Payroll corrections over 90 days require Associate Dean & VPR approval

• Communicate with your department staff
HOW YOU CAN SUPPORT OUR STAFF

• Provide backup documentation of expenditures to departmental staff

• Approve expenditures & subcontract invoices in a timely manner

• Submit international travel authorization forms for approval PRIOR to trip
  • This includes Canada for export control purposes
  • Travel to Canada is NOT considered international for budgeting purposes

• Submit technical reports as needed

• Always be mindful of compliance
**SPONSORED PROJECT EXPENDITURES**

Cost Factors Are:

- Reasonable
- Allocable
- Allowable
- Consistently Treated

Must Be Approved By PI or co-PI Via:
- Signature on Invoice/Receipt
- buyWays Workflow
- E-Mail
- Dept. Expenditure Request Form
UNIFORM GUIDANCE
ALLOWABLE COSTS

- Publication Costs
  - Up to 90 days after the end date

- Administrative/Clerical Costs
  - Allowable if integral part of the research project

- Computing Devices
  - Allowable with sponsor approval

All need to be included in proposed budget.
OMB Uniform Guidance effective 12/26/2014 for new projects
TYPICAL UNALLOWABLE COSTS

Office Supplies
Furniture
Memberships & Dues
Alcoholic Beverages

Entertainment Costs
Personal Goods
Gifts/Souvenirs
First Class Airfare
At Project End

Beware of corrections processed after project end date!
Potential Audit Issues

• Purchasing equipment or stockpiling supplies during the last 90 days of a project

• Lack of consistency in assigning costs

• Unapproved International Travel

• Purchasing/charging items not directly related to project
Potential Audit Issues

• Effort reports do not reflect actual performance

• Excessive number of corrections/cost transfers or budget revisions
  • especially within 30 days prior to project end date or after the project end date

• No PI/Co-PI authorization of expenditures (signature or email is acceptable)

• No traveler and/or supervisor approval on travel reimbursement
  • [http://www.clemson.edu/finance/procurement/travel/employeeeguidelines.html](http://www.clemson.edu/finance/procurement/travel/employeeeguidelines.html)
Sponsored Compensation Verification

- OMB Uniform Guidance requires that universities account for the effort of employees paid from sponsored projects.

- CU Principal Investigators will use PeopleSoft-based application to certify effort for employees.
  - 2 times per year
  - Deadline notifications are sent via email
Sponsored Compensation Verification

- Only lead PIs will approve/modify reports
- Salary amounts rather than effort percentages will be displayed

Navigation
  - Log in to PeopleSoft Financials
  - Main Menu > Sponsored Comp. Verification
Sponsored Compensation Verification

Salary = Time Commitment = Effort

Salary distribution reflects where effort is expended

Faculty/Department/College track fulfillment of proposed effort

Effort/Salary reduction > 25% requires sponsor approval
TECHNICAL REPORTS

• Send Copy of Transmittal Letter or Send Email for Technical Reports to the College Post-Award Office

• Delinquent Reports may result in:
  • Delayed Payments - Project expenditures may be frozen
  • Loss of New Awards
  • Delayed Award Modifications
FBIS

FACULTY BUSINESS INFORMATION SYSTEMS

• Online Tool for Faculty (Can access through Clemson University Faculty/Staff website)
• Grants & Contracts Accounting
  • Immediate access to all sponsored research projects
  • Project information with approved start/end dates
  • Current budget and expenditure information (May not include current day)
  • Current payroll information
  • Project Status
• Website Address: clemson.edu/fbis
QUESTIONS